

The Nation's Premier SBIR Support Services Company

# Rocky Mountain Inventors Association

**SBIR/STTR and other Government Funding**

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**PBC** *inc.*  
*creating successful businesses*

# SBIR Programs Overview

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## Small Business Innovation Research (SBIR)

SBIR -- 2.5% set aside from extramural R&D

\$2.4 B from 11 Government agencies (Colorado companies receive over \$80M annually)

## Eligibility

- 500 or fewer employees (including affiliates)
- For-profit
- 51% owned by US citizens or legally admitted permanent resident aliens

## Three phases (general funding levels)

- Phase I (SBIR funded): up to \$150k over 6 mo.
- Phase II (SBIR funded): up to \$1,000K over 2 years
- Phase III: -- private sector or Government funds (non-SBIR funds)

Companies can receive multiple awards (related topics)



# Approximate SBIR Participation Levels (\$2.4B)

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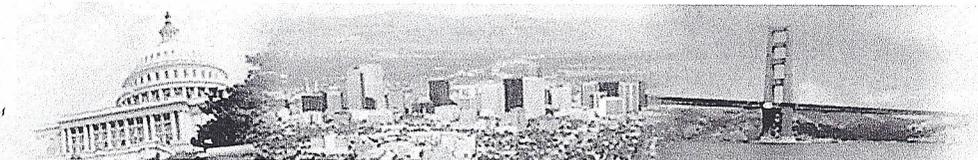
✓ DoD -	\$1,200 m
✓ HHS (NIH/CDC/FDA) -	\$700 m
✓ NASA -	\$120 m
✓ Energy -	\$150 m
✓ NSF -	\$130 m
✓ Homeland Security	\$30 m
✓ Agriculture -	\$20 m
✓ Education -	\$10 m
✓ EPA -	\$10 m
✓ Commerce (NOAA/NIST) -	\$10 m
✓ Transportation -	\$5 m



# Technology Readiness Levels

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1. **Basic principals observed**
2. **Concept formulation**
3. **Proof of concept**
4. **Validation in Laboratory**
5. **Validation in a relevant environment**
6. **Prototype demo in relevant environment**
7. **System prototype demo in operational environment**
8. **End user test and evaluation**
9. **Full production and distribution**



# Favorable Funding Points

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TRL levels → 1 2 3 4 5 6 7 8 9

SBIR I

SBIR II

“SBIR” III (Non-SBIR \$)

Other Govt. R&D

Angels

4 F

VCs

Strategic Partners



# Questions

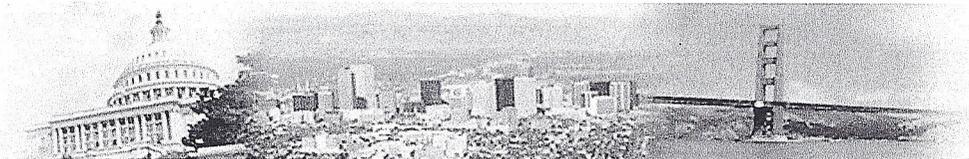
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